

United States District Court

DISTRICT OF Massachusetts

Securities and Exchange Commission,
Plaintiff

SUMMONS IN A CIVIL CASE

V.

CASE NUMBER:

James E. Reid,
Defendant

04 10832 JLT

TO: (Name and address of defendant)

James E. Reid

YOU ARE HEREBY SUMMONED and required to serve upon PLAINTIFF'S ATTORNEY (name and address)

Ian Roffman, Esq.
US SEC
73 Tremont Street
Boston, MA 02108

an answer to the complaint which is herewith served upon you, within 20 days after service of this summons upon you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. You must also file your answer with the Clerk of this Court within a reasonable period of time after service.



TONY ANASTAS

CLERK

APR 27 2004

DATE

(BY) DEPUTY CLERK

AO 440 (Rev. 10/83) Summons in a Civil Action

RETURN OF SERVICE

Service of the Summons and Complaint was made by me!	DATE <u>4-28-04</u>
NAME OF SERVER (PRINT) <u>David J. Doldan</u>	TITLE <u>Process Server</u>

Check one box below to indicate appropriate method of service

☒ Served personally upon the defendant. Place where served: United States District Court, Western District of New York, 68 Court Street, Buffalo, NY 14202

☐ Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein.
Name of person with whom the summons and complaint were left: _____

☐ Returned unexecuted: _____

☐ Other (specify): _____

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL
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DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on 5-3-04
Date

David J. Doldan
Signature of Server

40 Nationwide Court Services, Inc.
Address of Server
42 Delaware Avenue
Suite 710
Buffalo, NY 14202

(1) As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure.

ATTORNEY(S): UNITED STATES SECURITIES AND EXCHANGE COMMISSION, DISTRICT OF MASSACHUSETTS, U.S. DISTRICT COURT
 ADDRESS: , PH#: 617-424-5900, STEPHANIE DESISTO PARALEGAL

SECURITIES AND EXCHANGE COMMISSION

Plaintiff(s)/Petitioner(s)

AFFIDAVIT OF SERVICE
 INDEX #: 04 10832 JLT
 FILED ON: April 27, 2004

vs
 JAMES E. REID

Defendant(s)/Respondent(s)

County of ERIE, State of NEW YORK, I, DAVID J. DOLDAN being duly sworn deposes and says:

Deponent is not a party herein, is over 18 years of age and resides in the state of NEW YORK
 On 4-28-2004 at 3:15 P.M.

at UNITED STATES DISTRICT COURT, WESTERN DISTRICT OF NEW YORK, 68 COURT STREET, BUFFALO, NY 14202
 deponent served the within SUMMONS, COMPLAINT, AND AMENDED COMPLAINT

bearing Index # 04 10832 JLT and filed on April 27, 2004

ON: JAMES E. REID

INDIVIDUAL ☒ by delivering a true copy of each to said recipient personally; deponent knew the person served to be the person described as said person therein.

CORPORATION ☐ , by delivering thereat a true copy of each to personally, deponent knew said described as the named defendant and knew so served to be the said individual to be the authorized agent thereof.

SUITABLE AGE PERSON ☐ by delivering a true copy of each to a person of suitable age and discretion Said premises is recipient's ☐ actual place of business ☐ dwelling house (usual place of abode) within the state. I asked the person spoken to whether the defendant actually resides/is employed at these premises and received an affirmative reply.

AFFIXING TO DOOR ☐ by affixing a true copy of each to the door of said premises, which is recipient's ☐ actual place of business ☐ dwelling house (usual place of abode) within the state. Deponent was unable, with due diligence to find recipient or a person of suitable age and discretion, having called thereat
 Day _____ Date _____ Time _____ Day _____ Date _____ Time _____
 Day _____ Date _____ Time _____ Day _____ Date _____ Time _____

Verification:

MAILING COPY ☐ Deponent caused a copy of same to be enclosed in postpaid sealed wrapper properly addressed to recipient at the recipient's last known ☐ residence ☐ place of employment at: UNITED STATES DISTRICT COURT, WESTERN DISTRICT OF and caused said wrapper to be deposited in a post office official depository under exclusive care and custody of the U. S. Postal Service within the State of NEW YORK on _____

☐ The mailing was made pursuant to CPLR sec. 308 by First Class Mail, marked personal and confidential Date _____

☐ Additional mailing pursuant to CPLR sec. 3215 by First Class Mail, marked personal and confidential Date _____

DESCRIPTION ☒ A description of the Defendant, or other person served, or spoken to on behalf of the Defendant is as follows:
 Sex MALE Race/skin WHITE Color of hair GREY Approx. Age 55-60 Approx. Height 5'8"-5'11"
 Approx. weight 190-220 Other GLASSES

WITNESS FEES ☐ \$ _____ the authorizing traveling expenses and one day's witness fee was paid (tendered) to the recipient.

PHOTO ☐ Deponent was able to identify recipient from annexed photo.

MILITARY SERVICE ☒ Deponent asked person spoken to whether the recipient/defendant was presently in military service of the United States Government or on active duty in the military service in the State of NEW YORK and was informed he/she was not.

Sworn to before me on this

14th day of May, 2004

Notary Public

Commission: DAVID E. PALUNDO

Notary Public, State of New York

Qualified in Erie County
 My Commission Expires 1/31/2006

DAVID J. DOLDAN

Process Server's Lic #

Client Reference #: REID 4-28-04

DJD

Nationwide Court Services, Inc.
 42 Delaware Avenue, Suite 710
 Buffalo, New York 14202
 (716) 856-2500

Invoice/Work Order # 9730623